

Please type a plus sign (+) inside this box



PTO/SB/01 (8-96) (MODIFIED)

Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**DECLARATION FOR
UTILITY OR DESIGN
PATENT APPLICATION**

Declaration Submitted with Initial Filing



Declaration Submitted after Initial Filing

Attorney Docket Number**X-14688****First Named Inventor****Peter Charles Astles, et al.****COMPLETE IF KNOWN****Application Number****Filing Date****Group Art Unit****Examiner Name****As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MODULATORS OF ACETYLCHOLINE RECEPTORS

the specification of which

☐ is attached hereto

OR

was filed on
(MM/DD/YYYY)

07/29/2002

as United States Application Number or PCT International

Application
Number

PCT/US02/21297

and was amended on
(MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached	
				YES	NO
60-350,150	US	01/17/2002	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional applications(s) listed below.

Application Number(s)**Filing Date (MM/DD/YYYY)**Additional provisional application
numbers are listed on a supplemental
priority sheet attached hereto.

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DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Attorney Name	Reg. No.
Arvie J. Anderson	45,263
Lynn D. Apelgren	45,341
Robert A. Armitage	27,417
Brian P. Barrett	39,597
Michael T. Bates	34,121
Roger S. Benjamin	27,025
Gary M. Birch	48,881
William R. Boudreaux	35,796
Steven P. Caltrider	36,467
Paul R. Cantrell	36,470
John Cleveland	50,697
Charles E. Cohen	34,565
Donald L. Corneglio	30,741
Gregory A. Cox	47,504
Paula K. Davis	47,517
John C. Demeter	30,167
Manisha A. Desai	43,585
Paul J. Gaylo	36,808
Francis O. Ginah	44,712
Janet A. Gongola	48,436
Amy E. Hamilton	33,894
James A. Hoffmann	50,221
Danica Hostettler	51,820
Frederick D. Hunter	26,915
Thomas E. Jackson	33,064
Soonhee Jang	44,802
Charles Joyner	30,466
Gerald P. Keleher	43,707
James J. Kelley	41,888

Attorney Name	Reg. No.
Paul J. Koivunlehti	31,533
Thomas LaGrandeur	51,026
Robert E. Lee	27,919
Kirby Lee	47,744
James P. Leeds	35,241
Nelsen L. Lentz	38,537
Elizabeth A. McGraw	44,646
Douglas K. Norman	33,267
Arlen Palmberg	40,422
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Robert C. Tucker	45,165
Tina M. Tucker	47,145
MaCharri Vorndran-Jones	36,711
Gilbert T. Voy	43,972
Thomas D. Webster	39,872
Lawrence T. Welch	29,487
Alexander Wilson	45,782
MaryAnn Wiskerchen	45,511
Dan L. Wood	48,613

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to.

Name	ELI LILLY AND COMPANY				
Address	ATTN: Robert Craig Tucker				
Address	Patent Division, P.O. Box 6288				
City	INDIANAPOLIS	State	INDIANA	ZIP	46206-6288
Country	US	Telephone	(317) 433-9829	Fax	(317) 276-3861

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Peter	Middle Name	Charles	Family Name	Astles
Inventor's Signature	<i>Peter Charles Astles</i>				Date
Residence: City	Basingstoke	State	GBX	Country	UK
Address	Kingsclere Road, Hampshire				
Post Office Address	SAME AS ABOVE				
City	Basingstoke	State		Postal code	RG21 2XA
				Country	UK

☒ Additional Inventors are being named on supplement sheet(s) attached hereto.

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Gary M. Birch	48,881
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Steven P. Caltrider	36,467
Paul R. Cantrell	36,470
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John C. Demeter	30,167
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Francis O. Ginah	44,712
Janet A. Gongola	48,436
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Danica Hostettler	51,820
Frederick D. Hunter	26,915
Thomas E. Jackson	33,064
Soonhee Jang	44,802
Charles Joyner	30,466
Gerald P. Keleher	43,707
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Thomas LaGrandeur	51,026
Robert E. Lee	27,919
Kirby Lee	47,744
James P. Leeds	35,241
Nelsen L. Lentz	38,537
Elizabeth A. McGraw	44,646
Douglas K. Norman	33,267
Arleen Palmberg	40,422
Thomas G. Plant	35,784
Edward Prein	37,212
Grant E. Reed	41,264
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Michael J. Sayles	32,295
David M. Stemerick	40,187
Mark J. Stewart	43,936
Robert D. Titus	40,206
Robert C. Tucker	45,165
Tina M. Tucker	47,145
MaCharri Vomdran-Jones	36,711
Gilbert T. Voy	43,972
Thomas D. Webster	39,872
Lawrence T. Welch	29,487
Alexander Wilson	45,782
MaryAnn Wiskerchen	45,511
Dan L. Wood	48,613

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 Country **US** Telephone **(317) 433-9829** Fax **(317) 276-3861**

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Name of Sole or First Inventor: ☐ A Petition has been filed for this unsigned inventor

Given Name **Peter** Middle Name **Charles** Family Name **Astles** Suffix e.g. Jr.

Inventor's Signature Date
 Residence: City **Basingstoke** State Country **UK** Citizenship **UK**

Address **Kingsclere Road, Hampshire**

Post Office Address **SAME AS ABOVE**

City **Basingstoke** State Postal code **RG21 2XA** Country **UK**

☒ Additional inventors are being named on supplement sheet(s) attached hereto.

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DECLARATION

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Stephen		Middle Name	Richard	Family Name	Baker	Suffix e.g. Jr.
Inventor's Signature						Date	
Residence: City	Basingstoke	State		Country	UK	Citizenship	UK
Address	Kingsclere Road, Hampshire						
Post Office Address	SAME AS ABOVE						
City	Basingstoke	State		Postal Code	RG21 2XA	Country	UK

300

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Celine		Middle Name		Family Name	Bonnefous	Suffix e.g. Jr.
Inventor's Signature						Date	06-Jun-2003
Residence: City	San Diego	State	CA CA	Country	US	Citizenship	FR
Address	3965 Nobel Drive #92						
Post Office Address	SAME AS ABOVE						
City	San Diego	State	CA	Zip	92122	Country	US

400

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Jean		Middle Name	Michel	Family Name	Vernier	Suffix e.g. Jr.
Inventor's Signature						Date	06 Jun 2003
Residence: City	San Diego	State	CA CA	Country	US	Citizenship	FR
Address	12061-3 World Trade Drive						
Post Office Address	SAME AS ABOVE						
City	San Diego	State	CA	Zip	92128	Country	US

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Martine		Middle Name		Family Name	Keenan	Suffix e.g. Jr.
Inventor's Signature						Date	
Residence: City	Basingstoke	State		Country	UK	Citizenship	UK
Address	Kingsclere Road, Hampshire						
Post Office Address	SAME AS ABOVE						
City	Basingstoke	State		Postal Code	RG21 2XA	Country	UK

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DECLARATION

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A Petition has been filed for this unsigned inventor					
Given Name	Adam	Middle Name	Jan	Family Name	Sanderson	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	Basingstoke	State		Country	UK	Citizenship	UK
Address	Kingsclere Road, Hampshire						
Post Office Address	SAME AS ABOVE						
City	Basingstoke	State		Postal Code	RG21 2XA	Country	UK

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DECLARATION

200

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Stephen	Middle Name	Richard	Family Name	Baker	Suffix e.g. Jr.	
Inventor's Signature	<i>Stephen Baker</i>					Date	6 June 2003
Residence: City	Basingstoke	State	GBR	Country	UK	Citizenship	UK
Address		Kingsclere Road, Hampshire					
Post Office Address		SAME AS ABOVE					
City	Basingstoke	State		Postal Code	RG21 2XA	Country	UK

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Celine	Middle Name		Family Name	Bonnefous	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	San Diego	State	CA	Country	US	Citizenship	FR
Address		3965 Nobel Drive #92					
Post Office Address		SAME AS ABOVE					
City	San Diego	State	CA	Zip	92122	Country	US

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Jean	Middle Name	Michel	Family Name	Vernier	Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City	San Diego	State	CA	Country	US	Citizenship	FR
Address		12061-3 World Trade Drive					
Post Office Address		SAME AS ABOVE					
City	San Diego	State	CA	Zip	92128	Country	US

500

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A Petition has been filed for this unsigned inventor			
Given Name	Martine	Middle Name		Family Name	Keenan	Suffix e.g. Jr.	
Inventor's Signature	<i>Martine Keenan</i>					Date	2 June 2003
Residence: City	Basingstoke	State	GBR	Country	UK	Citizenship	UK
Address		Kingsclere Road, Hampshire					
Post Office Address		SAME AS ABOVE					
City	Basingstoke	State		Postal Code	RG21 2XA	Country	UK

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Given Name	Adam	Middle Name	Jan	Family Name	Sanderson	Suffix e.g. Jr.	
Inventor's Signature						Date	2nd JUNE 2003
Residence: City	Basingstoke	State	GBX	Country	UK	Citizenship	UK
Address	Kingsclere Road, Hampshire						
Post Office Address	SAME AS ABOVE						
City	Basingstoke	State		Postal Code	RG21 2XA	Country	UK